



PATENT
ATTORNEY DOCKET NO.: UBC1170-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hancock and Zhang
Application No.: 10/042,872
Filing Date: January 8, 2002
Title: ANTIMICROBIAL PEPTIDES AND METHODS OF USE THEREOF

Commissioner for Patents
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. 1.97, enclosed are references relating to the above-identified application. Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated July 11, 2002, which is not more than three months prior to the filing of this statement. For the convenience of the Examiner, these references are listed on the attached Form PT-1449 and copies are enclosed herewith.

It is respectfully requested that these references be made of written record in this application.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on OCTOBER 9, 2002, in an envelope addressed to:
Commissioner for Patents, Washington, D.C. 20231

Carla Gaitan
Carla Gaitan

10/9/02

In re Application of:
Hancock and Zhang
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Page 2

Attorney Docket No.
UBC1170-1

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any fee is required, authorization is hereby given to charge the amount of any such fee, or credit any overpayment, to Deposit Account No. 50-1355.

Respectfully submitted,

Date: 10/9/02


Kelly K. Reynolds, Esq.
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USPTO CUSTOMER NUMBER 28213

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FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office	Docket No.	Serial No.:
	UBC1170-1	10/042,872
Applicants: Hancock and Zhang		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Intl. Filing Date: June 27, 2001	Group Art Unit: 1653
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U.S. PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES/NO)
	AA	WO 95/10534	20.04.95	PCT			
	AB	EP 0 563 844 A1	06.10.93	EP			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

	AC	Zhang, et al., "Interaction of Polyphemusin and Structural Analogs with Bacterial Membranes, Lipopolysaccharide, and Lipid Monolayers," <i>Biochem.</i> , Vol. 39, 14504-14514 (2000)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449

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TRANSMITTAL SHEET

Transmitted herewith for the above-identified application please find:

1. Information Disclosure Statement (2 pages);
2. Form PTO-1449 (1 page);
3. Three (3) References;
4. International Search Report (5 pages);
5. Return postcard.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on October 9, 2002, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Cara Grifone
Cara Grifone

10/9/02

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Page 2

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No fee is deemed necessary in connection with the filing of this Information Disclosure Statement as this Information Disclosure Statement is being filed prior to the mailing of a first office action on the merits. The items of information contained in this statement were cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated July 11, 2001, which is not more than three months prior to the filing of this statement. However, if any fee is required, authorization is hereby given to charge the amount of any such fee, or credit any overpayment, to Deposit Account No. 50-1355.

Respectfully submitted,

Date: 10/9/02


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